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# Query Optimization

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# Query Processing

Q → Query Plan

Focus: Relational System

- Others?

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# Example

Select B,D  
From R,S  
Where R.A = "c"  $\wedge$  S.E = 2  $\wedge$  R.C=S.C

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**Relational Algebra** - can be used to describe plans...

Ex: Plan I

$$\begin{array}{c}
 \Pi_{B,D} \\
 | \\
 \sigma_{R.A='c' \wedge S.E=2 \wedge R.C=S.C} \\
 | \\
 X \\
 / \quad \backslash \\
 R \quad S
 \end{array}$$

OR:  $\Pi_{B,D} [\sigma_{R.A='c' \wedge S.E=2 \wedge R.C=S.C} (RXS)]$

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Another idea:

**Plan II**

$$\begin{array}{c}
 \Pi_{B,D} \\
 | \\
 \bowtie \\
 / \quad \backslash \\
 \sigma_{R.A='c'} \quad \sigma_{S.E=2} \\
 | \quad \quad | \\
 R \quad S
 \end{array}$$

natural join

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Example: Estimate costs

$$\begin{array}{c}
 L.Q.P \\
 / \quad | \quad \backslash \\
 P1 \quad P2 \quad \dots \quad Pn \\
 | \quad | \quad \dots \quad | \\
 C1 \quad C2 \quad \dots \quad Cn \\
 \\
 \text{Pick best!} \\
 |
 \end{array}$$

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Relational algebra optimization



- Transformation rules (preserve equivalence)
- What are good transformations?

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Rules: Natural joins & cross products & union



$$R \bowtie S = S \bowtie R$$
$$(R \bowtie S) \bowtie T = R \bowtie (S \bowtie T)$$

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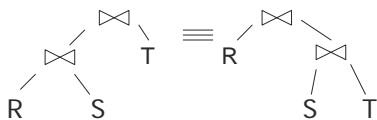
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Note:

- Carry attribute names in results, so order is not important
- Can also write as trees, e.g.:



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**Rules: Natural joins & cross products & union**

$$R \bowtie S = S \bowtie R$$

$$(R \bowtie S) \bowtie T = R \bowtie (S \bowtie T)$$
  

$$R \times S = S \times R$$

$$(R \times S) \times T = R \times (S \times T)$$
  

$$R \cup S = S \cup R$$

$$R \cup (S \cup T) = (R \cup S) \cup T$$
  

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**Rules: Selects**

$$\sigma_{p_1 \wedge p_2}(R) = \sigma_{p_1} [\sigma_{p_2}(R)]$$

$$\sigma_{p_1 \vee p_2}(R) = [\sigma_{p_1}(R)] \cup [\sigma_{p_2}(R)]$$
  

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**Rules: Project**

Let: X = set of attributes  
 Y = set of attributes  
 XY = X U Y

$$\pi_{xy}(R) = \pi_x[\pi_y(R)]$$
  

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**Rules:  $\sigma + \bowtie$  combined**

Let  $p$  = predicate with only R attribs  
 $q$  = predicate with only S attribs  
 $m$  = predicate with only R,S attribs

$$\sigma_p (R \bowtie S) = [\sigma_p (R)] \bowtie S$$

$$\sigma_q (R \bowtie S) = R \bowtie [\sigma_q (S)]$$

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**Rules:  $\sigma + \bowtie$  combined (continued)**

Some Rules can be Derived:

$$\sigma_{p \wedge q} (R \bowtie S) =$$

$$\sigma_{p \wedge q \wedge m} (R \bowtie S) =$$

$$\sigma_{p \vee q} (R \bowtie S) =$$

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$$\sigma_{p \wedge q} (R \bowtie S) = [\sigma_p (R)] \bowtie [\sigma_q (S)]$$

$$\sigma_{p \wedge q \wedge m} (R \bowtie S) = \sigma_m [(\sigma_p R) \bowtie (\sigma_q S)]$$

$$\sigma_{p \vee q} (R \bowtie S) = [(\sigma_p R) \bowtie S] \cup [R \bowtie (\sigma_q S)]$$

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Rules:  $\pi, \sigma$  combined

Let  $x$  = subset of R attributes  
 $z$  = attributes in predicate P  
(subset of R attributes)

$$\pi_x[\sigma_P(R)] = \pi_x \{ \sigma_P [ \pi_{xz}(R) ] \}$$

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Rules:  $\pi, \bowtie$  combined

Let  $x$  = subset of R attributes  
 $y$  = subset of S attributes  
 $z$  = intersection of R,S attributes

$$\pi_{xy}(R \bowtie S) = \pi_{xy} \{ [ \pi_{xz}(R) ] \bowtie [ \pi_{yz}(S) ] \}$$

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$\pi_{xy} \{ \sigma_P (R \bowtie S) \} =$

$$\pi_{xy} \{ \sigma_P [ \pi_{xz'}(R) \bowtie \pi_{yz'}(S) ] \}$$

$z' = z \cup \{ \text{attributes used in P} \}$

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Rules for  $\sigma$ ,  $\pi$  combined with X

similar...

e.g.,  $\sigma_p(R \bowtie S) = ?$

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Rules  $\sigma$ ,  $\cup$  combined:

$\sigma_p(R \cup S) = \sigma_p(R) \cup \sigma_p(S)$

$\sigma_p(R - S) = \sigma_p(R) - S = \sigma_p(R) - \sigma_p(S)$

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Which are "good" transformations?

- $\sigma_{p1 \wedge p2}(R) \rightarrow \sigma_{p1}[\sigma_{p2}(R)]$
- $\sigma_p(R \bowtie S) \rightarrow [\sigma_p(R)] \bowtie S$
- $R \bowtie S \rightarrow S \bowtie R$
- $\pi_x[\sigma_p(R)] \rightarrow \pi_x\{\sigma_p[\pi_{xz}(R)]\}$

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Conventional wisdom: do projects early

Example:  $R(A,B,C,D,E) \quad x=\{E\}$   
 $P: (A=3) \wedge (B=\text{"cat"})$

$\pi_x \{ \sigma_P (R) \}$  vs.  $\pi_E \{ \sigma_P \{ \pi_{ABE}(R) \} \}$

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But What if we have A, B indexes?

B = "cat" A=3

Intersect pointers to get pointers to matching tuples

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Bottom line:

- No transformation is always good
- Usually good: early selections

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• Estimating cost of query plan

(1) Estimating size of results  
 (2) Estimating # of IOs

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Estimating result size

- Keep statistics for relation R
  - T(R) : # tuples in R
  - S(R) : # of bytes in each R tuple
  - B(R) : # of blocks to hold all R tuples
  - V(R, A) : # distinct values in R for attribute A

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Example

A	B	C	D
cat	1	10	a
cat	1	20	b
dog	1	30	a
dog	1	40	c
bat	1	50	d

A: 20 byte string  
 B: 4 byte integer  
 C: 8 byte date  
 D: 5 byte string

T(R) = 5    S(R) = 37  
 V(R,A) = 3    V(R,C) = 5  
 V(R,B) = 1    V(R,D) = 4

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Size estimates for  $W = R1 \times R2$

$$T(W) = T(R1) \times T(R2)$$

$$S(W) = S(R1) + S(R2)$$

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Size estimate for  $W = \sigma_{A=a}(R)$

$$S(W) = S(R)$$

$$T(W) = ?$$

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Example

R	A	B	C	D
cat	1	10	a	
cat	1	20	b	
dog	1	30	a	
dog	1	40	c	
bat	1	50	d	

$V(R,A)=3$   
 $V(R,B)=1$   
 $V(R,C)=5$   
 $V(R,D)=4$

$$W = \sigma_{Z=val}(R) \quad T(W) = \frac{T(R)}{V(R,Z)}$$

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**Assumption:**

Values in select expression  $Z = \text{val}$  are uniformly distributed over possible  $V(R,Z)$  values.

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**Alternate Assumption:**

Values in select expression  $Z = \text{val}$  are uniformly distributed over domain with  $\text{DOM}(R,Z)$  values.

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**Example**

R	A	B	C	D
cat	1	10	a	
cat	1	20	b	
dog	1	30	a	
dog	1	40	c	
bat	1	50	d	

Alternate assumption  
 $V(R,A)=3 \quad \text{DOM}(R,A)=10$   
 $V(R,B)=1 \quad \text{DOM}(R,B)=10$   
 $V(R,C)=5 \quad \text{DOM}(R,C)=10$   
 $V(R,D)=4 \quad \text{DOM}(R,D)=10$

$W = \sigma_{Z=\text{val}}(R) \quad T(W) = ?$

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$C=val \Rightarrow T(W) = (1/10)1 + (1/10)1 + \dots$   
 $= (5/10) = 0.5$

$B=val \Rightarrow T(W) = (1/10)5 + 0 + 0 = 0.5$

$A=val \Rightarrow T(W) = (1/10)2 + (1/10)2 + (1/10)1$   
 $= 0.5$

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**Example**

R	A	B	C	D
cat	1	10	a	
cat	1	20	b	
dog	1	30	a	
dog	1	40	c	
bat	1	50	d	

Alternate assumption  
 $V(R,A)=3 \quad \text{DOM}(R,A)=10$   
 $V(R,B)=1 \quad \text{DOM}(R,B)=10$   
 $V(R,C)=5 \quad \text{DOM}(R,C)=10$   
 $V(R,D)=4 \quad \text{DOM}(R,D)=10$

$W = \sigma_{z=val}(R) \quad T(W) = \frac{T(R)}{\text{DOM}(R,Z)}$

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Selection cardinality

$SC(R,A) = \text{average \# records that satisfy equality condition on R.A}$

$SC(R,A) = \left\{ \begin{array}{l} \frac{T(R)}{V(R,A)} \\ \frac{T(R)}{\text{DOM}(R,A)} \end{array} \right.$

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What about  $W = \sigma_{z \geq \text{val}}(R)$  ?

$T(W) = ?$

- Solution # 1:  
 $T(W) = T(R)/2$
- Solution # 2:  
 $T(W) = T(R)/3$

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Solution # 3: Estimate values in range

Example R

	Z

Min=1    V(R,Z)=10  
 ↑  
 Max=20    W =  $\sigma_{z \geq 15}(R)$

$f = \frac{20-15+1}{20-1+1} = \frac{6}{20}$  (fraction of range)

$T(W) = f \times T(R)$

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Equivalently:

$f \times V(R,Z) = \text{fraction of distinct values}$

$T(W) = \frac{[f \times V(Z,R)] \times T(R)}{V(Z,R)} = f \times T(R)$

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Size estimate for  $W = R1 \bowtie R2$

Let  $x$  = attributes of  $R1$   
 $y$  = attributes of  $R2$

Case 1  $X \cap Y = \emptyset$

Same as  $R1 \times R2$

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Case 2  $W = R1 \bowtie R2$   $X \cap Y = A$

R1	A	B	C

R2	A	D

Assumption:  
 $V(R1,A) \leq V(R2,A) \Rightarrow$  Every A value in R1 is in R2  
 $V(R2,A) \leq V(R1,A) \Rightarrow$  Every A value in R2 is in R1

"containment of value sets"

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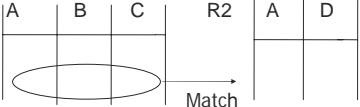
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Computing  $T(W)$  when  $V(R1,A) \leq V(R2,A)$

R1	A	B	C

R2	A	D

Take 1 tuple  Match

1 tuple matches with  $\frac{T(R2)}{V(R2,A)}$  tuples...

so  $T(W) = \frac{T(R2)}{V(R2,A)} \times T(R1)$

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- $V(R1,A) \leq V(R2,A) \quad T(W) = \frac{T(R2) T(R1)}{V(R2,A)}$
- $V(R2,A) \leq V(R1,A) \quad T(W) = \frac{T(R2) T(R1)}{V(R1,A)}$

[A is common attribute]

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In general  $W = R1 \bowtie R2$

$$T(W) = \frac{T(R2) T(R1)}{\max\{V(R1,A), V(R2,A)\}}$$

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**Case 2** with alternate assumption

Values uniformly distributed over domain

R1

A	B	C
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R2

A	D
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This tuple matches  $T(R2)/DOM(R2,A)$  so

$$T(W) = \frac{T(R2) T(R1)}{DOM(R2, A)} = \frac{T(R2) T(R1)}{DOM(R1, A)}$$

Assume the same

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In all cases:

$$S(W) = S(R1) + S(R2) - S(A)$$

size of attribute A

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